Shareholding Pattern

SI Capital & Financial Services Ltd

Scip Code: 530970 Quarter Ending: September 2023

SI No	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any warrants?	Yes
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the listed entity has any significant beneficial owner?	No

SI Capital & Financial Services Ltd

Table I - Summary Statement holding of specified securities

				No. of	No. of		of total	Number of Voting Rights held in each class of securities				g assuming		Number o	f Locked in	Number of pledged of otherwise encumber	Number of equity	
			No. of	_	shares		shares					ng	conversio					shares
			fully paid	paid-up	underlyin		(calculate	No of Voti	ng Rights			convertibl	n of		As a % of		As a % of	1 1
		Nos. of	up equity	equity	g	Total nos.	d as per				Total as a	e	convertibl		total		total	demateri
		sharehold	shares	shares	Depositor	shares	SCRR,	Class eg:	Class eg:		% of	securities	e		Shares		Shares	alised
Category	Category of shareholder	ers	held	held	y Receipts	held	1957)	Х	у	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1	X)			(XI)=	(X	II)	(X	III)	(XIV)
(A)	Promoter & Promoter Group	1	1841543	0	0	1841543	54.1630	1841543	0	1841543	54.1630	0	51.154	200000	10.8604	0	0.0000	1841543
(B)	Public	1659	1558457	0	0	1558457	45.8370	1558457	0	1558457	45.8370	200000	48.846	0	0.0000	NA	NA	756407
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	1660	3400000	0	0	3400000	100.0000	3400000	0	3400000	100.0000	200000	100.0000	200000	5.8823	0	0.0000	2597950

Note C = C1+C2 Grand Total = A+B+C

SI Capital & Financial Services Ltd

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

				Nos. of	No. of fully paid up equity	equity	No. of shares underlyin g Depositor	Total nos.	ding % calculate d as per SCRR, 1957 As a	ito or toting rights			Total as a		full conversio n of convertib	Number o shares	f Locked in As a % of total	Number o pledged o otherwise encumber	or ee red As a % of total
		Entity	l	sharehold		shares	1'			Class eg:	_			securities			Shares	l	Shares
	Category & Name of the shareholders	Туре			held	held			(A+B+C2)	Х			Rights	-	securities			No. (a)	held(b)
4	(I)		(11)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		(1)	X)	1	(X)	(XI)=	()	(II) 	(х	(III)
1-1				•	•			0	0.0000	•			0.0000		0.0000		0.0000		0.0000
(a)	Individuals / Hindu Undivided Family			0	0	0	0		0.0000	0	0		0.0000	0	0.0000	0	0.0000	0	0.0000
<u>, , </u>	Central Government / State Government(s)			0	0	0	0	_	0.0000	0	0	-	0.0000	0	0.0000	0	0.0000	0	0.0000
,,	Financial Institutions / Banks			0	0	0	ļ	-	0.0000	0	0		0.0000	0	0.0000	0	0.0000	0	0.0000
(d)	Any Other (Specify)				1841543	0	0	1841543	54.1630	1841543	0		54.1630	0	51.154	200000	10.8605	0	0.0000
	Bodies Corporate				1841543	0	0	1841543	54.1630	1841543	0		54.1630	0	51.154	200000	10.8605	0	0.0000
	Sharewealth Securities Ltd	Promoter (AAKCS310		1841543	0	0	1841543	54.1630	1841543	0	1841543	54.1630	0	51.154	200000	10.8605	0	0.0000
	Sub Total (A)(1)			1	1841543	0	0	1841543	54.1630	1841543	0	1841543	54.1630	0	51.154	200000	10.8605	0	0.0000
2	Foreign																		
	Individuals (Non-Resident Individuals / Foreign																		
(a)	Individuals)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(b)	Government			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c)	Institutions			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(d)	Foreign Portfolio Investor			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(e)	Any Other (Specify)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	Sub Total (A)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	Total Shareholding Of Promoter And Promoter																		
	Group (A)= (A)(1)+(A)(2)			1	1841543	0	0	1841543	54.1630	1841543	0	1841543	54.1630	0	51.154	200000	10.8605	0	0.0000

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting

Note:

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

⁽¹⁾ PAN would not be displayed on website of Stock Exchange(s)

SI Capital & Financial Services Ltd

Table III - Statement showing shareholding pattern of the Public shareholder

						т							No. of	Shareho								
													Shares	lding,			Numbe	er of Shares				
								Ch h - I					l				pledge	d or				
								Sharehol	Numbe	er of Voti	ng Rights h	eld in	Underlyin	as a %	Number of	f Locked	otherw	<i>i</i> ise	l			
								ding %	each cl	ass of sec	urities		g	assumin	in shares		encum	bered	Number	Sub-categ	orization of	fshares
								calculate		Total			Outstandi	g full					of equity			
				No. of		No. of		d as per					ng	conversi					shares	Shareholding(No. of shares		shares)
				fully paid	paid-up	shares		SCRR,	No of \	oting Rig	hts	as a %	convertib	on of		As a %		As a % of	held in	under		
			Nos. of	up equity	equity	underlying	Total nos	1957 As a				of Total	le	converti		of total		total	demateri	Sub-	Sub-	Sub-
			sharehold	shares	shares	Depository	shares	% of	Class	Class		Voting	securities	ble		Shares		Shares	alised	category(i	category(i	category(i
	Category & Name of the shareholders	PAN	ers	held	held	Receipts	held	(A+B+C2)	eg: X	eg: y	Total	Rights	(including	securitie	No. (a)	held(b)	No. (a)	held(b)	form)	i)	ii)
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a		•	(IX)	•	(X)	(XI)=	(XI	1)		(XIII)	(XIV)		(XV)	•
1	Institutions (Domestic)																					
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Banks		1	9200	0	0	9200	0.2706	9200	0	9200	0.2706	0	0.2556	0	0.0000	NA	NA	0	0	0	0
• •	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000			NA	NA	0			
	Provident Funds/ Pension Funds			0	0	0	0	0.0000	0	0	0	0.0000	0		0		NA	NA	0			
	Asset Reconstruction Companies		0	0	0	-	0	0.0000	0	0	0	0.0000	0		0		NA	NA	0			
· ,	Sovereign Wealth Funds			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	-	0.0000	NA	NA	0			
• •	NBFCs registered with RBI		•	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	-	0.0000	NA	NA	0			
• •	Other Financial Institutions		•	0	0		0	0.0000	0	0	0	0.0000	0	0.0000			NA	NA NA	0			
477	Any Other (Specify)		•	0	0		0	0.0000	0	0	0	0.0000				0.0000	NA	NA NA	0			
	Sub Total (B)(1)		-	9200	0	0	9200	0.2706	9200	0	9200	0.2706		0.2556			NA	NA NA	0	0	n	0
2	1 11 1		1	9200	U	0	9200	0.2706	9200	0	3200	0.2700	0	0.2330	U	0.0000	IVA	INA	U	0	U	0
(-)	Institutions (Foreign)		0	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0	0.0000		NA	0			
. ,	Foreign Direct Investment		•	-	_		-		, ·	_	0				•				-			
	Foreign Venture Capital Investors		•	0	0	-	0	0.0000	0	0	_	0.0000			-	0.0000	NA	NA	0			
	Sovereign Wealth Funds		-	0	0		0	0.0000	0	0	0	0.0000					NA	NA	0			
	Foreign Portfolio Investors Category I		-	0	0	0	0	0.0000	0	0	0	0.0000		0.000			NA	NA	0			
	Foreign Portfolio Investors Category II		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
1	Overseas Depositories(holding DRs)																					
(f)	(balancing figure)		•	0	0	0	0	0.0000	0	0	0	0.0000		0.0000	0		NA	NA	0			
	Any Other (Specify)		•	0	0	0	0	0.0000	0	0	0	0.0000		0.000	0		NA	NA	0			
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Central Government/ State																					
3	Government(s)																					
(a)	Central Government / President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000			NA	NA	0			
(b)	State Government / Governor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Shareholding by Companies or Bodies																					
	Corporate where Central / State																					
(c)	Government is a promoter		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Sub Total (B)(3)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
4	Non-Institutions			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(a)	Associate companies / Subsidiaries		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Directors and their relatives (excluding																					
	Independent Directors and nominee								33698													
(b)	Directors)		2	336980	o	0	336980	9.9112	0	0	336980	9.9112	200000	14.9161	0	0.0000	NA	NA	336980	0	o	0
	Anu Thomas Cheriyan	AEAPC049		200000	0	0	200000	5.8824	200000	-	200000	5.8824		11.1111		0.0000	NA	NA	200000	-	-	-
	Anoop Jolly	AJUPA350		136980	0	0	136980	4.0288	136980		136980	4.0288	0		0	0.0000	NA	NA NA	136980	-		
(C)	Key Managerial Personnel			0	0	0	0	0.0000	130960	0	130300	0.0000	0		0	0.0000		NA NA	0			
(~)	ncy managenari eradililei	L	<u> </u>		1	<u> </u>		13.0000	1		اح.	0.0000		3.0000	٦	3.0000	1444	1,30	١,		L	

	Relatives of promoters (other than				1				1							1	1	1	1			$\overline{}$
	'immediate relatives' of promoters																					
	disclosed under 'Promoter and Promoter																					
(D)	Group' category)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Trusts where any person belonging to																					
	'Promoter and Promoter Group' category																					
	is 'trustee', 'beneficiary', or 'author of the																					
(E)	trust"		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	Investor Education and Protection Fund																					1
(f)	(IEPF)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
	i. Resident Individual holding nominal								94546			27.807										1
(g)	share capital up to Rs. 2 lakhs.		1626	945467	0	0	945467	27.8079	7	0	945467	9	0	26.263	0	0.0000	NA	NA	243817	0	0	0
	ii. Resident individual holding nominal								10930													
(h)	share capital in excess of Rs. 2 lakhs.		2	109300	0	0	109300	3.2147	0	0	109300	3.2147	0	3.0361	0	0.0000	NA	NA	51400	0	0	0
	Jayant Pranjiwandas			57900	0	0	57900	1.7029	57900	0	57900	1.7029	0	1.6083	0	0.0000	NA	NA	0			
	Surendra Kumar Nakhat .	AJCPS1741	i	51400	0	0	51400	1.5118	51400	0	51400	1.5118	0	1.4278	0	0.0000	NA	NA	51400			
									12330													
(i)	Non Resident Indians (NRIs)		4	123308	0	0	123308	3.6267	8	0	123308	3.6267	0	3.4252	0	0.0000	NA	NA	123308	0	0	0
	Vivek Ramachandran	ADFPR132	1	112400	0	0	112400	3.3059	112400	0	112400	3.3059	0	3.1222	0	0.0000	NA	NA	112400			
(j)	Foreign Nationals		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(k)	Foreign Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0			
(I)	Bodies Corporate		21	33500	0	0	33500	0.9853	33500	0	33500	0.9853	0	0.9306	0	0.0000	NA	NA	200	0	0	0
(m)	Any Other (Specify)		3	702	0	0	702	0.0206	702	0	702	0.0206	0	0.0195	0	0.0000	NA	NA	702	0	0	0
	Hindu Undivided Family		3	702	0	0	702	0.0206	702	0	702	0.0206	0	0.0195	0	0.0000	NA	NA	702	0	0	0
									15492			45.566										
	Sub Total (B)(4)		1658	1549257	0	0	1549257	45.5664	57	0	1549257	4	200000	48.5905	0	0.0000	NA	NA	756407	0	0	0
	Total Public Shareholding (B)=								15584			45.837										
	(B)(1)+(B)(2)+(B)(3)+b(4)		1659	1558457	0	0	1558457	45.8370	57	0	1558457	0	200000	48.846	0	0.0000	NA	NA	756407	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Sha	%
0		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense

	No. of
No. of shareholders	Shares
0	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be
- (5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:
- (i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director)on the board of the listed entity.
- (ii) Shareholder who have entered into shareholder agreement with the listed entity.
- (iii) Shareholders acting as persons in concert with promoters.

SI Capital & Financial Services Ltd

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

						No. of shares underl		Sha reh oldi ng %	Number of Voting Rights held in each class of securities			No. of Sharehol Shares ding, as a Underlyin % g assuming Outstandi full		ding , as a % Number of Locked ir shares		Number of Shares pledged or in otherwise encumbered		Number of equity	
				No. of	Partly	ying	1	calc		r		ng	conversio					shares	
				fully paid up equity		Deposi tory	Total nos.		No of	Voting F	Rights	Total as a	convertibl e	n of convertibl		As a % of total		As a % of total	held in demateri
			sharehold	shares	shares	1 '			Class	Class		% of	securities	e		Shares		Shares	alised
	Category & Name of the shareholders	PAN	ers	held	held	ts	held	per	eg: X	eg: y	Total	(A+B+C)	(including	securities	No. (a)	held(b)	No. (a)	held(b)	form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII			(IX)		(X) (XI)=		: (XII)		(XIII)		(XIV)
								0.00											
1	Custodian/DR Holder		0	0	0	0	0	00	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Employee Benefit Trust / Employee Welfare Trust under																		
	SEBI (Share based Employee Benefits and Sweat Equity)							0.00											
2	Regulations, 2021		0	0	0	0	0	00	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)=							0.00											
	(C)(1)+(C)(2)		0	0	0	0	0	00	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.